IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/717,474 Confirmation No. : 9359

First Named Inventor : Yutaka MATSUNOBU Filed : November 21, 2003

TC/A.U. : 3618

Examiner : F B VANAMAN

Docket No. : 056203.49196C1

Customer No. : 23911

Title : Hybrid Electrical Vehicle Employing Permanent Magnetic

Type Dynamo-Electric Machine

## PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a pre-appeal brief request for review.

The sole issue to be decided concerning the final rejection is the ability of the references to be combined and more specifically the disputed showing of the references.

Claims 1, 9 and 17 have been finally rejected under 35 U.S.C. §103 as unpatentable over Hattori (U.S. Patent No.: 6,048,289) in view of Fumio et al. (Japanese Publication No.: JP 09271151) as detailed at item 4 on pages 2 and 3 of the final patent Office Action.

Claim 1 recites a hybrid electric vehicle employing a permanent magnet type dynamo-electric machine wherein a shape of the rotor in a circumferential direction at each pole is nonsymmetrical and wherein a width in a rotational direction of a permanent magnet inserting hole provided within the rotor iron

core is larger than a width of each one of said plurality of permanent magnets

and wherein a space resulting from a difference in width between said inserting

hole and the permanent magnet is a space in the inserting hole which is

positioned forward of the permanent magnet in a direction of rotation of the rotor

which causes forward movement of the electric vehicle and wherein as a result of

this space, a ratio between forward rotation torque and reverse rotation torque is

in a range from 1: 1.05-1.2 with the torque of the reverse rotation being greater

than the torque of the normal forward movement rotation.

As indicated in the Final Rejection, the reference to Hattori is a hybrid

vehicle which fails to disclose the permanent magnet relationship claimed in

claim 1 with respect to the permanent magnet inserting holes and their

respective relationships in the rotor. The secondary reference to Fumio has non-

magnetic portions provided in the opposite direction to the rotor when that rotor

is driven in a normal rotating direction so that the torque and the normal

rotation direction is made larger than the torque in the reverse rotating

direction. Fumio, as discussed above, and as disclosed by the translated

portions, requires specifically that the rotating direction be limited to one

direction. For reverse movement of the vehicle, the rotational speed of the

electric machine is reduced by the speed changed mechanism and the

Page 2 of 5

transmitted rotation direction is reversed. Thus, Fumio has no need or reason

for increasing the torque in the reverse rotation direction.

In the first full paragraph of page 4 of the Final Rejection, the Examiner

indicates that Applicants' assertion that the reference to Fumio, used to modify

the reference to Hattori, is limited to rotation in one direction only but that

"Applicant has provided no reference to support this assertion, for example, by

signing a particular passage in the text of Fumio which positively limits the

rotation of Fumio's motor to one direction". The Examiner indicates that such

evidence should be cited to support the assertion and the arguments of Counsel

cannot take the place of evidence in the record.

Applicants have twice previously submitted an English translation of a

pertinent portion of Fumio to support the contention that Fumio has a motor

that only runs in one direction. In the Advisory Action of September 13, the

Examiner contends that this is not clear because lines 11-16 of paragraph [0027]

of Fumio include language that there is torque generation in both directions.

Applicants submit that the torque is not rotation and Fumio clearly

indicates that reverse direction torque is small. It is the transmission which

reverses the direction of the transmitted rotation for backward movement. Thus

the clear language of Fumio is that the direction of rotation of the dynamo-

Page 3 of 5

electric machine "is limited to one direction". There is no evidence that counters

this clear language.

Without this Examiner interpretation, there is no possible combination of

references because Fumio accomplishes reverse movement by a "speed change

mechanism" and there is no need or reason to increase the torque in the reverse

rotation direction, whereas the presently claimed invention requires a machine

and an engine connected to a drive shaft in series without a switching gear for

the forward and backward movement. It is submitted that Fumio has no such

limitation and there is no reason it would be incorporated into the subject matter

of Hattori and if it was the direction of rotation teaching is clearly not

appropriate to be combined with Hattori to meet the claim limitations of the

present invention.

If there are any questions regarding this amendment or the application in

general, a telephone call to the undersigned would be appreciated since this

should expedite the prosecution of the application for all concerned.

Page 4 of 5

Serial No. 10/717,474

Pre-Appeal Request Dated: November 30, 2005 Reply to Office Action Mailed: May 31, 2005

Attorney Docket No. 056203.49196C1

If necessary to effect a timely response, this paper should be considered as

a petition for an Extension of Time sufficient to effect a timely response, and

please charge any deficiency in fees or credit any overpayments to Deposit

Account No. 05-1323 (Docket #056203.49196C1).

Respectfully submitted,

November 30, 2005

Vincent J. Sanderdick Registration No. 29,004

CROWELL & MORING LLP

Intellectual Property Group

P.O. Box 14300

Washington, DC 20044-4300

Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

VJS:vjs



Page 2 January 16, 2003

English Translation of Relevant Portion in JP-9-271151 [0026]

In this case, the structure is characterized in that the permanent magnet 36 is inserted so as to be slanted to the direction of rotation of the permanent magnet insertion hole 34. The permanent magnet insertion hole 34 is formed larger in the peripheral direction than the size of the permanent magnet 36, and the permanent magnet 36 is inserted into the permanent magnet insertion hole 34 so as to be slanted to the direction of rotation.

dynamo-electric machine used In the for electric vehicle, the direction of rotation thereof is limited to one direction. That is, if the dynamoelectric machine rotates in the direction of arrow B at a time when the electric vehicle moves forward, the dynamo-electric machine also rotates in the direction of arrow B at a time when the electric vehicle moves backward. When the electric vehicle moves backward, the transmission mechanism reverses the direction of the transmitted rotation as well as reduces the rotational speed of the dynamo-electric machine. Accordingly, it is sufficient that the torque generated by the dynamoelectric machine generates a sufficient great torque with respect to the direction of rotation B, and the torque rotating in the opposite direction (clockwise direction) to the direction of arrow B may be small. view of the above, the structure for increasing the torque generated by the dynamo-electric machine in the case of the direction of arrow B, and reducing it in the

Page 3 January 16, 2003

case of the opposite direction has a point that the permanent magnet 36 is inserted to the permanent magnet insertion hole 34 so as to be slanted to the direction of rotation B.